

1 any efficacy data in the moderate to severe that would
2 warrant changing to over-the-counter.

3 CHAIRPERSON GULYA: Thank you.

4 Dr. Mair?

5 DR. MAIR: Eric Mair. In our study
6 looking at these popular snoring aids, I went down on
7 the internet and Googled to find out what were the
8 most popular snoring aids out there, what is out there
9 on the market, number one, two, and three.

10 The one, two, and three that we looked at
11 were a nasal dilator, the Strip, just one of the nasal
12 dilators. The second thing was these cervical
13 pillows. We did the same study that I talked about
14 previously with the cervical pillows and found the
15 exact same results, that there was absolutely no
16 objective or subjective change in snoring. I realize
17 they are already over the counter. The problem that
18 I have, though, stems more with the mild obstructive
19 sleep apnea.

20 The article that we were given in our
21 packet to review is "Cervical Positional Effects on
22 Snoring and Apneas." In this article, there was one,

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1 I guess the premier article, leading to the OTC
2 approval. We see that it looks at three patients with
3 mild obstructive sleep apnea. And the RDI goes from
4 14.7 to 10.5. So they still have obstructive sleep
5 apnea at the beginning and at the end. And there are
6 only three patients in the study.

7 I am concerned that now we have a product
8 that is over the counter for treating obstructive
9 sleep apnea. And I am not sure if our data really
10 shows this. I don't think that it shows it for
11 snoring. I am even more concerned that we're telling
12 our patients that "Well, it was cleared by FDA or is
13 approved by the FDA for over-the-counter use."

14 CHAIRPERSON GULYA: Thank you very much.
15 Dr. Suzuki?

16 MEMBER SUZUKI: Jon Suzuki. I believe
17 there is insufficient data for its use in the
18 application for moderate to severe OSA.

19 CHAIRPERSON GULYA: Thank you.
20 Dr. Zuniga?

21 MEMBER ZUNIGA: No further comment.

22 CHAIRPERSON GULYA: Okay. Thank you.

1 Dr. Zero?

2 MEMBER ZERO: Again, I agree that there is
3 not sufficient data to support increasing or extending
4 the OTC classification to the other categories. I
5 guess the next question will address whether we need
6 to review its current status.

7 CHAIRPERSON GULYA: Okay. Thank you.

8 Dr. Woodson?

9 DR. WOODSON: I would agree. We probably
10 should look at its current status. It doesn't look
11 like there is data for either OSA or snoring. And it
12 looks really uncomfortable, too.

13 CHAIRPERSON GULYA: A tennis ball would be
14 better.

15 DR. WOODSON: Yes.

16 CHAIRPERSON GULYA: Dr. Stern?

17 DR. STERN: Yes. Carolyn Stern. The
18 cervical pillows, I also note that there are some that
19 are by prescription and some that are over the
20 counter.

21 CHAIRPERSON GULYA: There's one.

22 DR. STERN: So that part is not even in

1 there, and I was just wondering about that but no
2 other comment.

3 CHAIRPERSON GULYA: Eric, would you be
4 able to address that issue? I think it was in your
5 presentation that you had one of these pillows that
6 was prescription still and one of them that's over the
7 counter or was that a transition? Was it originally
8 prescription and then it transitioned to being over
9 the counter?

10 DR. MANN: Yes. The first cervical pillow
11 that was cleared for an indication of snoring in mild
12 sleep apnea was the PillowPositive pillow from Life
13 Sleep Systems. It had been previously marketed as a
14 snoring pillow, but the did come in with a 510(k) for
15 the new mild obstructive sleep apnea indication. That
16 was supported by clinical data, one of the articles of
17 which was included.

18 And this was the initial kind of pilot
19 study. So it was a small number of patients. There
20 was an additional study that was published. I did not
21 include that in there. It had more patients and
22 substantiated the initial findings of this pilot

1 study. I did not put that article in there as stating
2 the basis of our clearance of the product for that
3 indication. It was merely to illustrate the types of
4 studies that had been done in the past to support
5 these kinds of indications.

6 So that was indeed a prescription device,
7 that first pillow. And subsequent to that, we
8 received two additional 510(k)'s for over-the-counter
9 treatment of snoring and obstructive sleep apnea.

10 DR. ROSENTHAL: I think the question is,
11 is it still a prescription device that can be bought
12 over the counter or is it an over-the-counter device
13 that can be bought over the counter without a
14 prescription?

15 DR. MANN: The sponsor has not come back
16 in with a 510(k) seeking over-the-counter status.

17 DR. ROSENTHAL: So it's a prescription
18 device that is sold over the counter, which means it
19 has to be prescribed by a medical professional. And
20 then they can go in and just buy it.

21 DR. MANN: I'm not aware that it's being
22 sold over the counter.

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1 DR. ROSENTHAL: Am I wrong?

2 DR. MANN: PillowPositive?

3 DR. LI: Kasey Li. I was actually
4 involved with PillowPositive. The material of the
5 material for the prescription is different than what
6 is available over the counter. My understanding was
7 that the company no longer exists.

8 I don't know what the status of that is,
9 but I think there is a major issue in terms of
10 extrapolating the data from the PillowPositive from
11 all of the other "snoring pillow" because they are
12 very different.

13 And the same goes along with all of these
14 different products that we're basing specific data on
15 specific product and trying to extrapolate with
16 others. Often they don't apply.

17 DR. MANN: That's an issue that really
18 kind of confronts us when we receive 510(k)'s. We are
19 presented with a clinical study to evaluate. And we
20 basically can't do a literature search to support our
21 decisions. We have to base our decisions on what has
22 been submitted. I would just --

1 DR. LI: Specifically about the
2 PillowPositive, it requires a custom measurement and
3 fabrication and design of the pillow for the
4 individual patient. Actually, they have had jigs that
5 measure the neck and head position and shoulder
6 position. So I am sure that is not with the other
7 products that have been submitted since.

8 DR. MANN: That's correct. The other two
9 that have received over-the-counter clearance have
10 been pretty much a one size fits all kind of pillow.
11 So there are no fitting issues involved.

12 And, as I stated before in the earlier
13 presentation, there were a number of factors that went
14 into the decision for the over-the-counter status:
15 number one, some of the data, not all of which has
16 been provided to you, supporting the effectiveness;
17 number two, review of the labeling, which, again,
18 clearly delineates the precautions and warnings that
19 we have talked about in the past as well and, again,
20 a long history of experience with the snoring pillows,
21 no reported complications and having a safety profile
22 very different from the oral appliances and air

1 devices that were discussed this morning.

2 So a lot of factors were taken together
3 that played into that risk-benefit assessment for the
4 over-the-counter.

5 CHAIRPERSON GULYA: Dr. Mair?

6 DR. MAIR: A question for you, Eric. The
7 study that we have right here shows that the mild
8 obstructive sleep apnea before the pillow were mild
9 obstructive sleep apnea. After the pillow, they were
10 mild obstructive sleep apnea. So they still have
11 obstructive sleep apnea, and it's still mild. Do the
12 other studies refute this?

13 DR. MANN: The other studies essentially
14 were with a larger population of patients. It
15 essentially also showed approximately a 25 to 30
16 percent reduction in RDI. I guess Dr. Li can probably
17 comment on that as well.

18 DR. MAIR: And then the second thing is
19 there are some published reports. So I guess our
20 study looked at that, went over the objective
21 complications. And they looked at morning headache
22 was quite significant and, most importantly, was

1 morning neck stiffness. So they're not without
2 problems, although they are minor compared to the
3 positional devices.

4 DR. MANN: Right. And I would at some
5 point maybe this afternoon want to revisit the issue
6 of basically we have 40 to 60 percent of the
7 population, adult American population, with snoring.

8 We have heard a lot of discussion today
9 that signs and symptoms do not correlate well with
10 polysomnography. There is no mix of factors in a
11 modeling sense that can be used to predict who has
12 snoring versus OSA. Currently we only have
13 polysomnography, although there may be other
14 technologies in the pipeline.

15 So I would like to hear from the panel, do
16 they feel that every patient was snoring, 40 to 60
17 percent of the adult population needs to go for a
18 sleep study?

19 CHAIRPERSON GULYA: Thank you, Dr. Mann.

20 Dr. Terris, would you like --

21 DR. TERRIS: I will address that issue
22 this afternoon.

1 CHAIRPERSON GULYA: Great.

2 DR. TERRIS: But let me just briefly say
3 this is totally inconsistent to have sleep apnea as an
4 indication for one of the products and not for the
5 others. To me, it makes zero sense whatsoever.

6 And because our predecessors made an
7 error, I don't think we should propagate that error.
8 We should fix it. I mean, that is our responsibility,
9 to protect the public. So here is an opportunity to
10 remove mild sleep apnea as an indication for the
11 cervical pillows, Dr. Jenkins.

12 CHAIRPERSON GULYA: Dr. Rosenthal, please?

13 DR. ROSENTHAL: Dr. Terris, I think you
14 don't realize that companies submit different
15 information --

16 CHAIRPERSON GULYA: That's right.

17 DR. ROSENTHAL: -- when they submit their
18 applications. And so we have to go on the information
19 they submit depending on the indication which they
20 request.

21 CHAIRPERSON GULYA: We have still the
22 mandibular support devices. Dr. Mair, if it is a

1 burning issue, I will take your comment, but I would
2 like to make sure we get through the issues.

3 DR. MAIR: A very quick comment.

4 CHAIRPERSON GULYA: Okay.

5 DR. MAIR: Eric Mair. Potentially over
6 lunchtime, we could get that article that Dr. Mann
7 talked about, and the panel can review that to see.
8 I think that would be very helpful because this
9 article that we have right now says that OTC should
10 not be given to the pillow.

11 CHAIRPERSON GULYA: Yes. Okay. Ms. Howe?

12 MS. HOWE: Betsy Howe. I don't have any
13 further comment on this category, but I sure look
14 forward to the discussion on labeling.

15 CHAIRPERSON GULYA: Thank you. So do I.

16 Dr. Calhoun?

17 DR. CALHOUN: I do not support extending
18 the labeling indications.

19 CHAIRPERSON GULYA: Thank you.

20 Ms. Schechter?

21 MR. SCHECHTER: No further comment.

22 CHAIRPERSON GULYA: Thank you.

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1 DR. DEMKO: I don't think there is enough
2 data.

3 CHAIRPERSON GULYA: Thank you, Dr. Demko.
4 Okay. Mr. Crompton?

5 MR. CROMPTON: And I would just like to
6 thank Dr. Rosenthal and Dr. Mann to point out that
7 when FDA makes a decision, it is typically not based
8 on this packet. It's made on the 510(k) that is
9 submitted by the sponsor. Frankly, I don't think this
10 is the time to review clear devices.

11 I would point out that, again, I think the
12 definitions now are catching up and sponsors can come
13 forth with studies to prove the safety and
14 effectiveness of these devices.

15 CHAIRPERSON GULYA: Okay. Now, I know we
16 are getting at the lunchtime. I would really like to
17 try and get through these mandibular support devices.
18 Actually, what we have to do is address the
19 risk-benefit for OTC use for snoring/mild sleep apnea.

20 I think if we remain focused, we can
21 finish this and make a stampede for lunch. Is that
22 okay with everybody? Okay. Where will I start now?

1 I think I will start with Dr. Suzuki.

2 MEMBER SUZUKI: Mandibular support
3 devices, insufficient data.

4 CHAIRPERSON GULYA: Thank you very much.
5 Dr. Zuniga?

6 MEMBER ZUNIGA: I think there is
7 insufficient data. No further comment.

8 CHAIRPERSON GULYA: Thank you very much.
9 Dr. Jenkins, you are hiding back there.

10 MEMBER JENKINS: I would agree.

11 CHAIRPERSON GULYA: Thank you.

12 Dr. Mair?

13 DR. MAIR: Insufficient data.

14 CHAIRPERSON GULYA: Thank you very much.

15 Dr. Li?

16 DR. LI: I agree.

17 CHAIRPERSON GULYA: Wonderful.

18 DR. ORLOFF: Same.

19 CHAIRPERSON GULYA: Yes. Everybody is
20 getting weak with hunger. The fight has taken over.

21 (Laughter.)

22 CHAIRPERSON GULYA: Dr. Zero?

1 MEMBER ZERO: Agree.

2 CHAIRPERSON GULYA: Thank you very much.

3 Dr. Woodson?

4 DR. WOODSON: Agree.

5 CHAIRPERSON GULYA: Thank you very much.

6 Dr. Stern?

7 DR. STERN: Same.

8 CHAIRPERSON GULYA: Thank you.

9 Dr. Terris?

10 DR. TERRIS: I agree.

11 CHAIRPERSON GULYA: Wonderful.

12 All right. Ms. Howe?

13 MS. HOWE: No comment.

14 CHAIRPERSON GULYA: Thank you.

15 Dr. Calhoun?

16 DR. CALHOUN: I agree. And, furthermore,

17 I think there is some potential risk when someone

18 develops nasal obstruction during the course of the

19 night and they can't open their mouths to breathe

20 through them.

21 CHAIRPERSON GULYA: Thank you very much.

22 Mr. Schechter?

1 MR. SCHECHTER: Let's go eat.

2 CHAIRPERSON GULYA: Thank you.

3 Dr. Demko?

4 DR. DEMKO: I agree.

5 CHAIRPERSON GULYA: All right. Thank you.

6 Mr. Crompton?

7 MR. CROMPTON: No comment.

8 CHAIRPERSON GULYA: Okay. Great. Thank
9 you very much.

10 All right. Now, we have some
11 announcements from Sally Thornton. And then we will
12 break for lunch.

13 EXECUTIVE SECRETARY S. THORNTON: This is
14 an announcement that is lunch-related. So listen up.

15 CHAIRPERSON GULYA: You got our attention.

16 EXECUTIVE SECRETARY S. THORNTON: They're
17 waiting lunch for us in the restaurant here, the
18 Brasserie. And there is a special room that we have
19 set aside for the FDA panels, Dental and ENT, to
20 retire to have lunch together. So off you go.

21 DR. TERRIS: Can I request a seat next to
22 Dr. Jenkins?

1 (Laughter.)

2 CHAIRPERSON GULYA: Okay. Now, we will
3 resume here at 1:30 sharp. Thank you.

4 (Whereupon, at 12:38 p.m., the foregoing
5 matter was recessed for lunch, to
6 reconvene at 1:30 p.m. the same day.)

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A-F-T-E-R-N-O-O-N S-E-S-S-I-O-N

(1:40 p.m.)

CHAIRPERSON GULYA: I would like to call this joint panel meeting back into session. So as I see it now, we have successfully negotiated question 1. What we have now to do is to go through questions 2 and 3. We are now going from 1:30 until 3:30, at which point in time we will have another open panel hearing session here.

So question 2, to refresh everybody's memory, is if we believe that certain devices would be appropriate for OTC treatment of obstructive sleep apnea, please discuss adequate product labeling to assist a self-diagnosis and differentiation of OSA and any other general or specific labeling restrictions that you think would be appropriate.

This is a little bit of a quandary because many of the devices we believe would be not appropriate for OTC use, but if something were to change in the future, perhaps that some of these conditions might be more readily self-diagnosed, we might want to sort of lay some preparatory groundwork.

1 There was some difference of opinion about the
2 appropriateness of OTC use for some of these devices.

3 So, again, looking at things, we probably
4 have about an hour for question number 2 and an hour
5 for question number 3. I think if we go through it
6 again device by device, with individuals who are
7 proponents for favoring over-the-counter use by each
8 device, giving what they believe would be appropriate
9 language, I think we can at least discuss the issue.
10 And the FDA can take back the relevant points from our
11 discussion and work with those in their further
12 formulation of labeling and decisions for OTC use,
13 where it says "prescription use."

14 So let's turn and look at this tongue
15 retaining device. I know we had a pretty considerable
16 majority not really happy about the idea of approving
17 it for OTC use. Those individuals who would consider
18 it for OTC use for either snoring or for one of the
19 variety of forms of obstructive sleep apnea, do any of
20 you have any verbiage you would suggest for how one
21 could label this product to help the user
22 self-diagnose to assure appropriate use and any other

1 labeling restrictions?

2 Rather than calling on people, I think we
3 have gotten comfortable enough in jumping in that I
4 think we can let people volunteer, although if I see
5 too little participation, I will not hesitate to start
6 prompting participation. Okay?

7 MEMBER SUZUKI: Madam Chairman?

8 CHAIRPERSON GULYA: Okay. Dr. Suzuki?

9 MEMBER SUZUKI: Jon Suzuki, Dental
10 Products. In light of our discussions this morning,
11 I think it is a relatively moot point to even discuss
12 this next question. So I think it should go by the
13 wayside.

14 DR. ORLOFF: Well, except that I don't
15 think it's moot for anything that is used for snoring
16 to have on the labeling that individuals should
17 recognize that snoring may be a sign of sleep apnea.
18 I don't know if that is a requirement of everything
19 that is already approved for over the counter, but it
20 should be if it is not.

21 CHAIRPERSON GULYA: Okay.

22 DR. MAIR: Could I ask a question, please?

1 CHAIRPERSON GULYA: A second question,
2 yes.

3 DR. MAIR: A second question? All right.
4 We're talking about labeling. Labeling is different
5 for Class II than it would be for potentially over the
6 counter. Labeling would be contradictions, warnings,
7 precautions, what are adequate directions for fitting,
8 for usage, and for care afterwards.

9 Are these the types of things that we want
10 to discuss? Is it for a Class II-type device or how
11 is that different from an over-the-counter?

12 CHAIRPERSON GULYA: Well, I think -- and,
13 Eric, you can correct me if I am wrong here -- if we
14 discuss both, it would probably be helpful to them.
15 As I said, I thought there was a minority who favored
16 potential OTC application of the tongue retaining
17 device. And if so, then it might be appropriate to
18 have instructions appropriate for OTC use.

19 Dr. Runner?

20 DR. RUNNER: Actually, instructions can be
21 appropriate for any class of device in terms of what
22 kind of labels you might consider.

1 CHAIRPERSON GULYA: So basically it just
2 has to be sixth or seventh grade reading level?

3 DR. RUNNER: Right.

4 CHAIRPERSON GULYA: And that's it. Okay.
5 All right. So, in any case, yes? I was about ready
6 to call on you because I remember you were interested
7 in having this as over-the-counter.

8 MS. HOWE: Betsy Howe, as much a listener
9 as a participant. Through the discussion, I think it
10 is important in addressing OSA to talk about or to put
11 on the labeling a definition of what it is, to clearly
12 take it beyond snoring and outline what the other
13 problems might be for people to watch out for, and
14 also explain who is at risk, to talk about the obesity
15 issue, the gender issue, to clearly help people screen
16 themselves through the labeling.

17 There is a second point here. Oh, and
18 also to make referrals to organizations that might
19 have more information. I have noted in the materials
20 there is information from the Sleep Disorders Dental
21 Society and the American Sleep Apnea Association, to
22 put Web sites for those organizations so people can

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1 get even further information.

2 CHAIRPERSON GULYA: Okay. Thank you.

3 Yes, Mr. Schechter?

4 MR. SCHECHTER: The question itself
5 doesn't refer to devices that might be appropriate for
6 OTC for snoring, but I am assuming that is included in
7 there.

8 CHAIRPERSON GULYA: Yes.

9 MR. SCHECHTER: And a lot of the
10 discussion this morning was regarding missed
11 diagnosis, two words, not misdiagnosis. I think the
12 point that came up right at the end of the morning
13 session that such a large percentage of the population
14 snores and a subset of them have sleep apnea, that I
15 think, rather than this over-the-counter use of some
16 of these devices for snoring being an opportunity for
17 missed diagnosis, it is actually an opportunity to
18 educate that population.

19 I have no evidence to back this up, but I
20 would venture that a very large majority of people
21 that snore don't do anything about it. And if there
22 were products on the market OTC that were sufficiently

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1 safe, obviously, for their use, I think here with this
2 labeling is our opportunity and the FDA's opportunity
3 to require manufacturers to put information about
4 sleep apnea in those so that people become aware that
5 this is a problem and that the fact that they snore is
6 a large predictor of it and that maybe they should go
7 and do something about it.

8 But I think the concern that by providing
9 these devices to people is going to, in fact, cover up
10 the population with sleep apnea, I don't necessarily
11 agree with that. I think it is actually an
12 opportunity in the other direction.

13 CHAIRPERSON GULYA: Okay. Point noted.
14 Okay.

15 Mr. Crompton?

16 MR. CROMPTON: Yes. I would tend to echo
17 Dan's comments there. By and large, I think that is
18 what we have seen in the United States as we have gone
19 over the counter with a lot of and even direct to
20 consumer advertising. We are seeing a lot more
21 interaction with physicians. So I am very comfortable
22 that FDA knows how to write restrictive labeling or

1 impose it on us as sponsors.

2 I think adequate contraindications and
3 precautionary statements could be put into the
4 labeling for these OTC indications. I am not going to
5 comment specifically on devices, but even some of the
6 ones that we discussed this morning that were kind of
7 out of hand thrown out, there was some evidence of
8 efficacy. And even if the percentage were 46 percent,
9 that is 46 percent better than nothing.

10 I would like the panel to offer some
11 guidance to the agency in terms of the kinds of things
12 that as clinicians, you would like to see if, in fact,
13 some of these devices could make it OTC for snoring
14 and then perhaps mild to moderate OSA. I think that
15 helps the agency when they are dealing with sponsors
16 because sponsors will continue to come in with these
17 applications.

18 CHAIRPERSON GULYA: Sure. Yes. I think
19 we will focus on the labeling issues now. And then
20 question number 3, of course, is what the study design
21 would look like. I think that will also provide some
22 useful guidance for the FDA.

1 Dr. Rosenthal?

2 DR. ROSENTHAL: Yes. I was wondering if
3 the panel could give the division some of the signs
4 and symptoms that should be written in the labeling to
5 tell a patient who has bought something OTC for
6 snoring, that he or she may, in fact, have something
7 that is more serious.

8 CHAIRPERSON GULYA: Dr. Mair?

9 DR. MAIR: I think it is better, instead
10 of the panel to reinvent the wheel, let's go back to
11 one of the articles here from Sleep. It goes over the
12 AASM. The task force was specifically asked the same
13 question you are asking.

14 The features, the cardinal features, are
15 choking or gasping during sleep, recurrent awakenings
16 from sleep, unrefreshing sleep, daytime fatigue,
17 impaired concentration. These are relatively
18 well-written for the lay person to understand that
19 without the medical type terms.

20 CHAIRPERSON GULYA: Okay.

21 Dr. Terris?

22 DR. TERRIS: Or no symptoms. So I would

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1 say if you are snoring and no other symptoms, you may
2 still have sleep apnea and, therefore, should see a
3 physician.

4 DR. ORLOFF: Lisa Orloff.

5 CHAIRPERSON GULYA: Dr. Orloff?

6 DR. ORLOFF: Also, things that aren't
7 necessarily symptoms of sleep apnea but would increase
8 your risk. If you're obese, if you have hypertension
9 already, your risk of undiagnosed sleep apnea may be
10 higher or the consequences more severe. So those
11 things that would aggravate the diagnosis.

12 CHAIRPERSON GULYA: Okay. I was also
13 thinking that in terms of product labeling, we would
14 want to consider things that we have recognized as
15 adverse effects of some of these devices. How would
16 we write things like, "Your jaw might be moved too far
17 forward? You might have dentition problems?" That
18 ilk of issue I think would need to be noted to the
19 potential consumer if they are going to be starting to
20 use these devices.

21 Would we want to have the FDA include
22 something to the effect like "A regular dental

1 evaluation"? So that would seem to be one thing.
2 Especially after Dr. Demko's presentation, that would
3 seem to be primary to have a dentist check you
4 periodically, although, again, there may be a little
5 bit of self-contradiction here.

6 People are trying to treat themselves
7 without going to a doctor. And then we're telling
8 them, "Well, you had better go see a doctor anyway."
9 So that may be a little bit inherently contradictory,
10 but it, nonetheless, is probably a good piece of
11 advice that they should have somebody monitor this.
12 It would seem also important to tell them that these
13 changes may take place without them being aware of
14 these changes.

15 So irrespective of noticing anything, you
16 may wish to have follow-up, particularly, Dr. Demko,
17 would there be a time limit, say, "If you use this
18 device for more than six months, please be aware of
19 these certain dental changes for which you would
20 require possible treatment"?

21 DR. DEMKO: Or orthodontics or surgery.
22 I think you should --

1 CHAIRPERSON GULYA: I'm sorry. I can't
2 quite hear you.

3 DR. DEMKO: I would say that you should
4 tell them they require either orthodontics or surgery
5 to correct the situation, that once it gets beyond six
6 months to a year, these are permanent changes.

7 CHAIRPERSON GULYA: Okay. So anything
8 else? I think what we are talking about here will
9 pretty much go for the tongue retaining device, the
10 mandibular repositioning device, and the palatal
11 lifting device, although perhaps with the palatal
12 lifting device, there may be issues regarding
13 potential aspiration of portions of the product,
14 palatal erosion, and inability to tolerate the device,
15 period, that may need to be listed on the labeling.

16 Am I missing anything there? Anything
17 else anybody can think of there? Yes, Ms. Howe?

18 MS. HOWE: Betsy Howe. I just noted a
19 couple of things. One, because most of these people
20 are older or elderly, that it needs to be mentioned
21 that it could harm restorations or that it needs to be
22 placed over -- is it natural teeth, edentulous teeth,

1 and certainly again using lay terms wherever possible.

2 CHAIRPERSON GULYA: Okay. Great. Thank
3 you.

4 Dr. Woodson?

5 DR. WOODSON: Some of these things that
6 are already prescription-approved and some of them
7 over-the-counter, it may be that some of the things
8 we're coming up with in the labeling are actually
9 already on the label. Are those labels available for
10 us to see?

11 CHAIRPERSON GULYA: Dr. Mann?

12 DR. MANN: I'll pull them up. We do have
13 some of those available.

14 DR. ORLOFF: While Dr. Mann is doing that,
15 maybe sort of --

16 CHAIRPERSON GULYA: Dr. Orloff?

17 DR. ORLOFF: A question for the fact that
18 we know that sedative medications and alcohol increase
19 snoring and sleep apnea and may be a comment to the
20 fact that these devices may be less effective in the
21 setting of sedating medications or alcohol.

22 CHAIRPERSON GULYA: Okay. While Dr. Mann

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1 is, is there anything else there that anybody can
2 think of?

3 DR. MAIR: Also, there are other things
4 that can be associated with --

5 CHAIRPERSON GULYA: Dr. Mair?

6 DR. MAIR: I'm sorry. Dr. Mair.

7 CHAIRPERSON GULYA: Since we're jumping
8 around a little bit, we'll give the transcriptionists
9 a little bit of a break by telling them who we are.

10 DR. MAIR: Just because someone has
11 sleep-disordered breathing doesn't mean that they have
12 snoring or obstructive sleep apnea. There could be
13 central causes insomnia and things along those lines.
14 Again, it goes back, it points back to see your
15 doctor.

16 CHAIRPERSON GULYA: Right. Okay. So Dr.
17 Mann got the labeling conditions here.

18 DR. MANN: Just briefly to highlight what
19 has been used for snoring pillows, as you will recall,
20 ten years ago, the decision was made to exercise
21 regulatory discretion. And as long as a sponsor
22 agreed to the following labeling conditions, they did

1 not have to come in with a 510(k). So labeling
2 conditions are that there can be no other medical
3 claims made within the labeling for a snoring pillow.

4 The warnings that are specifically stated
5 are that the user should consult their physician for
6 evaluation of OSA and other respiratory disorders if
7 your snoring is accompanied by periods of not
8 breathing, as observed by bed partners; awakening
9 short of breath; choking; or gagging; and certain
10 medical conditions that had been listed as
11 contraindications, again stemming back from the early
12 1990s, when this was drafted; history of heart
13 disease; being substantially overweight. And there
14 has been a notation that these are not to be used in
15 infants or children and to discontinue use if there is
16 pain or discomfort.

17 So, again, this was crafted many years
18 ago. And if there are additions or alterations, we
19 would be very interested in hearing your opinion.

20 CHAIRPERSON GULYA: Okay. Dr. Woodson?

21 DR. WOODSON: Dr. Woodson. In terms of
22 using this as something for education, this is where

1 we could put in not only you might have sleep apnea,
2 but sleep apnea is bad because it puts you at risk for
3 hypertension, heart disease, you know, sudden death.

4 CHAIRPERSON GULYA: Exactly. I would
5 think that would be an opportunity, also with respect
6 potentially to a Web site listing.

7 I guess one has to think also about how
8 much volume of material you can put on a label before
9 somebody doesn't read it at all because if it's
10 manageable, they might actually look at it. I think
11 if you start giving them 15 pages of material, then
12 they aren't going to look at anything.

13 That is my bias. I would be interested to
14 hear other individuals address this and see what we
15 would -- yes, Dr. Terris?

16 DR. TERRIS: One of my concerns is not
17 just the health of the person who has the snoring or
18 potentially sleep apnea, but it's the health of
19 everybody who is driving on the roads with that
20 individual who may have significant sleep apnea.

21 I don't know how to word that in a label
22 but maybe something acknowledging that, hey, if you

1 are sleepy, you shouldn't be driving. You should pull
2 over. I don't know if that is appropriate for this
3 label, but to me, that is where it starts to impact
4 everybody in this room, not just the person that has
5 the problem.

6 CHAIRPERSON GULYA: I understand. Dr.
7 Calhoun, I saw your head moving there.

8 DR. CALHOUN: Yes. There's just not a
9 really good correlation between subjective sleepiness
10 and objectively measured sleepiness like by the
11 Multiple Sleep Latency Test or something like that.

12 So I agree with Dave. It's a big concern,
13 the sleepy driver or the sleep-impaired driver, but to
14 rely on people's self-assessment is not going to be
15 very helpful.

16 CHAIRPERSON GULYA: Right. Okay. I
17 understand.

18 Dr. Mair?

19 DR. MAIR: I would just ask a question to
20 Dr. Mann. For the snoring pillows, now they are over
21 the counter for mild obstructive sleep apnea. What
22 does the labeling say about obstructive sleep apnea

1 presently for mild obstructive sleep apnea,
2 specifically on the label for the lay public?

3 DR. MANN: The labeling is essentially
4 very similar to what is described here aside from any
5 kind of use issues as to how to use the pillow. The
6 same warnings regarding the signs and symptoms of
7 obstructive sleep apnea are listed as well as the
8 contraindications.

9 DR. MAIR: But, Eric, now we are saying
10 that we can use it over the counter for mild
11 obstructive sleep apnea. How do you explain mild
12 obstructive sleep apnea when we really as physicians
13 can't get a good handle on it? How is that presently
14 being explained as an indication for that?

15 DR. MANN: Yes. It's not being explained
16 within the labeling per se. That clearance was based,
17 as I said before, on the clinical data that was
18 submitted, some of which is public, some of which is
19 not. And basically the review of the product use
20 instructions, the risk-benefit ratios associated with
21 use of the pillow, demonstration that it was effective
22 in reducing the RDI and so forth.

1 So I think it's pretty obvious that a
2 person isn't going to be able to find out their own
3 RDI. It was felt that there is enough of an overlap
4 between snoring and mild OSA symptoms. That
5 distinction, as you have noted yourself, is not always
6 clear on the basis of the sleep studies that we have
7 right now. And we have this history of safety with
8 snoring pillows per se.

9 So I guess basically the intention was
10 that the warnings for the one would kind of be
11 appropriate for both in terms of the signs and
12 symptoms that could be --

13 DR. MAIR: That said, if I were a company
14 and I had an indication now for over-the-counter for
15 mild apnea, I would want to say, "I have this
16 indication. The other ones don't," I would think.

17 So you're saying that's not being done,
18 that it's basically the same thing up here as saying
19 no other medical conditions; for example, OSA and --

20 DR. MANN: Oh, I'm sorry. Yes. It does
21 not say, "OSA," obviously, because it hasn't been
22 cleared for that per se. But the warnings and

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1 contraindications sections are the same.

2 DR. ROSENTHAL: Dr. Mair, we would
3 appreciate any comments you have about labeling for
4 these OSA: mild, moderate, severe.

5 DR. MAIR: This is Eric Mair. My personal
6 feelings on this are that we are entering Pandora's
7 box with very, very murky water. When we can't
8 understand or have a good grasp on it, I don't think
9 that the public will have a grasp at all.

10 If I see that there is an indication for
11 obstructive sleep apnea as a consumer and I look at
12 the labels of tongue retaining devices, nasal
13 dilators, mandibular support devices, that's only for
14 snoring. But, hey, this pillow works for apnea. And
15 I will have no --

16 DR. ROSENTHAL: The mandibular devices are
17 for moderate.

18 DR. MAIR: Okay. They are support
19 devices. I guess they aren't for anything right now.

20 DR. ROSENTHAL : No.

21 DR. MAIR: But if I look at what is out
22 there now as a consumer, my concern is that I have not

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1 seen the data. Where is the beef? And I know that
2 you're telling me it's out there, but if it's not out
3 there, if we can critically review that and --

4 DR. ROSENTHAL: But do you have any
5 suggestions for labeling in this area?

6 DR. MAIR: My suggestion is from what I
7 have seen -- and I have been through the literature
8 quite extensively on these snoring aids -- I don't see
9 an indication for over-the-counter for mild
10 obstructive sleep apnea for cervical pillows. I think
11 it would be more in line with the FDA policy and as a
12 consumer advocate to keep these -- I think David was
13 saying -- sort of together and to take that indication
14 off.

15 CHAIRPERSON GULYA: I think what the FDA
16 can get --

17 DR. ROSENTHAL: You don't want to help us.

18 CHAIRPERSON GULYA: -- from us is what the
19 labeling is. I think the decision has been made and
20 would be I think virtually impossible to unmake at
21 this point in time. So I think what we could do to
22 help would be to give language that would mitigate the

1 potential harm to the unwitting consumer. And that
2 would probably be the most productive way to proceed.

3 So I guess other than strongly encouraging
4 an individual to seek medical attention or --

5 DR. TERRIS: David Terris. I think
6 they've hit the major ones that most of us would agree
7 are best indicators of sleep apnea. So I don't think
8 there's anything more to be said, I think, from a
9 sleep medicine standpoint.

10 DR. MAIR: Eric Mair. From a labeling
11 standpoint, we know that the mortality statistics show
12 that an AHI showing severe apnea is associated with
13 increased mortality. We know nothing about mild and
14 moderate.

15 Why are we going through, "Yes, we'll
16 approve it for mild but maybe moderate and then
17 severe"? The strong statistics at least from my read,
18 even including with hypertension, with CVAs, et
19 cetera, differentiate severe apnea from --

20 DR. ROSENTHAL: That seems to negate the
21 whole issue, then, of not allowing over-the-counter
22 devices for mild sleep apnea.

1 DR. MAIR: Exactly. What I'm saying is --

2 DR. ROSENTHAL: So you're saying we can
3 allow devices for mild sleep apnea because there are
4 no serious consequences.

5 DR. MAIR: No. I think that either it's
6 for apnea or not for apnea. As a consumer, as someone
7 out there not a physician reading and I have apnea,
8 most people I don't think know.

9 My patients who see me in my sleep
10 disorder clinic who are well in touch with their
11 obstructive sleep apnea and may know their AHI won't
12 know anything else about their sleep study. And the
13 usually don't know the category that they're in. Then
14 it goes back to, "Why are we doing over-the-counter
15 for mild and moderate apnea?"

16 I can understand for prescription because
17 the physician who knows can separate mild, moderate,
18 and severe, but for an over-the-counter application,
19 I think it's murky water.

20 CHAIRPERSON GULYA: Well, we still need to
21 think about labeling. I have one proposal. And you
22 can shoot it down, but it's going to be thrown up

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1 there for discussion. That is something to the effect
2 that this product should not be used as the sole
3 component in the management of mild OSA. It should be
4 used only as part of a complete therapeutic regimen
5 under the care of a doctor.

6 Now, I understand we cannot reverse the
7 wheels of time in terms of approval of something being
8 OTC. I would like to clarify. We can add labeling
9 things onto something. So I would like to hear some
10 discussion about that as an idea.

11 I will not be wounded if you think it is
12 a perfectly horrible idea. I just want to get
13 something so we go productively into saying, "What can
14 we do with the labeling with things as they are to
15 adequately help the consumer use this product and not
16 do themselves harm, taking into account all of what
17 you are saying?"

18 I totally hear it, but, unfortunately,
19 we're not in 1992. We're in 2004. And we just have
20 got to work with the clock. Let's go first with Dr.
21 Calhoun and then Dr. Terris.

22 DR. CALHOUN: Karen Calhoun. Yes.

1 CHAIRPERSON GULYA: Thank you.

2 DR. TERRIS: Yes. I was going to say I
3 like it. It sounds good.

4 CHAIRPERSON GULYA: Okay. Anything else
5 we can think about? Anybody else have any objections
6 or "Attaboys" or anything like that for me?

7 DR. ORLOFF: Attagirl.

8 CHAIRPERSON GULYA: I even wore a skirt
9 today, too.

10 So I have the feeling that we have really
11 almost beaten this to death here with the tongue
12 retaining device, the mandibular reposition device,
13 and the palatal lifting device.

14 FDA, are we all square with you on this?
15 Anything else we can address here with this?

16 (No response.)

17 CHAIRPERSON GULYA: Okay. Now, in terms
18 of the nasal dilators and cervical pillows, we already
19 addressed the cervical pillows. Anything for the
20 nasal dilators that we think should be added to the
21 product labeling? Do we think that is all right?
22 They are already over-the-counter. So I guess what we

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1 can do is just -- do we have the --

2 DR. CALHOUN: Do they have the same
3 labeling that we see up here?

4 DR. ROSENTHAL: That is the --

5 CHAIRPERSON GULYA: Actually, no. It's in
6 Dr. Mann's presentation, page 3, the top slide. He
7 had "FDA Policy: Nasal Dilators. Labeling
8 Precautions and Warnings."

9 DR. MANN: I would just emphasize that
10 these have been cleared for snoring OTC but they have
11 not for OSA, mild or otherwise.

12 CHAIRPERSON GULYA: Right. It's right up
13 on the slide. And what is the recommended duration of
14 use?

15 DR. MANN: It depends on the individual
16 device. You saw many.

17 CHAIRPERSON GULYA: Okay. All right.
18 Anything we can add to that?

19 (No response.)

20 CHAIRPERSON GULYA: Okay. I see nothing
21 there. Cervical pillows I think we have pretty much
22 covered. Mandibular support devices I think everybody

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1 --

2 DR. TERRIS: I'm sorry. Julie, can I go
3 back?

4 CHAIRPERSON GULYA: Sorry. Sure. Dr.
5 Terris?

6 DR. TERRIS: Dr. Terris. I just realized
7 that there's no mention on these warnings that if you
8 have associated conditions like high blood pressure --
9 I forget what the other one said. It might be useful
10 to add that here as well because we know that even
11 snoring is an independent risk factor for
12 hypertension.

13 CHAIRPERSON GULYA: Okay. Point
14 well-taken. I see that being written down there.
15 Now, as I recall, with respect to the mandibular
16 support devices, we all felt that there was
17 insufficient evidence to declare OTC one way or the
18 other. So I think I will probably agree with Dr.
19 Suzuki here and say that is a moot point for
20 discussion.

21 So I think we're actually doing quite well
22 with time. It may be that question number 3 does have

1 a little bit more in the way of challenge for us and
2 a little bit more room for discussion because here is
3 where we get into the meaty issues of "Okay. What
4 kind of studies do we want to see and what is the
5 manufacturer going to have to provide in order to
6 market these devices for snoring and/or obstructive
7 sleep apnea?"

8 : So let's start off with the first one. In
9 terms of general clinical study design, including
10 control group and whether or not you think a control
11 group is needed. Who wants to lead off? Dr. Mair?

12 DR. MAIR: Usually, the simplest study
13 designs for treating snoring devices that can be used
14 across the board once they're cleared is a
15 crossover-type study, where the patient can serve as
16 his own control.

17 The problem with crossover studies is we
18 have to make sure that there is a sufficient washout
19 period in between. And that could be difficult for,
20 let's say, the mandibular repositioning devices when
21 you know there might be some changes in the patient's
22 anatomy afterwards. So they're not really serving as

1 their own control afterwards.

2 I think the important thing to look at
3 this is to see, is there a sufficient washout period?
4 And I think the controls are necessary if you used a
5 parallel philosophy of treating patients and controls
6 separately.

7 That's usually very difficult from a
8 company point of view. You will need more patients
9 involved. And I think it is very meaningful for
10 patients objectively and subjectively to see if one
11 device works, even versus another, and may make it
12 serve as their own control.

13 CHAIRPERSON GULYA: Okay. Dr. Terris?

14 DR. TERRIS: I'll take a different tack.
15 I don't think controls are necessary because there's
16 not really going to be a placebo effect in terms of
17 evaluating the sleep apnea. So I would say the
18 patient is their own control.

19 Now, if you want to compare it to another
20 device and study it down the road, then I would agree
21 with that statement. But otherwise I would say no
22 control necessary. Preoperative or pre-intervention

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1 polysomnography. Intervention, post-intervention
2 polysomnography. And that would satisfy me at least.
3 I wouldn't need a control group.

4 CHAIRPERSON GULYA: I have a question.
5 This is Dr. Gulya. I mean, what I heard was there was
6 considerable variability in the polysomnogram, that
7 somebody could have a highly abnormal, putting them
8 into moderate to severe obstructive sleep apnea one
9 testing session and then the next testing session,
10 they might be mild or moderate.

11 The other thing I would worry about is,
12 how are you going to control for regression to the
13 mean? If somebody picks a severe OSA candidate for
14 testing, I mean, what do you know is going to be just
15 the likelihood that that cohort is going to exhibit
16 some random improvement that we will attribute
17 inappropriately to the device?

18 So I guess one measure that would address
19 those issues would be, what kind of baseline are you
20 going to pick for your testing? Are you going to take
21 one polysomnogram? Are they going to have a couple?
22 And my understanding is patients aren't real happy

1 about going through one, much less multiple ones. So
2 how do you pick what is a stable polysomnographic
3 result? I was kind of struck by that.

4 DR. TERRIS: Yes. Well, I guess I thought
5 we were doing this one item at a time. So a control
6 group won't address any of those issues, right? So I
7 would say yes, these are important things we can
8 hammer down into details, but in terms of the first
9 issue, do we need a control group, I would say no.

10 DR. MAIR: He serves as his own control in
11 a crossover study. Is that what you're saying, that
12 a patient has his own control group?

13 EXECUTIVE SECRETARY S. THORNTON: Dr.
14 Mair, could you speak into the microphone, please?

15 CHAIRPERSON GULYA: But if each patient
16 has such variability, that's what I'm wondering. How
17 can they be their own control if --

18 DR. MAIR: The problem is with
19 variability; first of all, this "first night" effect.
20 So that if you have the patient get a sleep study and
21 then have the treatment and then another sleep study
22 afterwards, you are going to worry about the first

1 night effect.

2 The other thing is that probably this is
3 a very useful place for home sleep studies, especially
4 those that measure snoring and apnea, because that is
5 where the patient really sleeps. Many times in a
6 sleep lab, as most of us know, the test is measured on
7 your back, and you almost have to lay on your back.
8 And many times we don't do that or many people won't
9 do that.

10 So to get away from the first night
11 effect, Terry Davidson had a very nice article on this
12 looking at the first night effect for home sleep
13 studies, when you're in your own home with very little
14 devices on you, not like Dr. Terris looked in that one
15 picture, poor guy, that you don't have or a negligible
16 first night effect.

17 Then the other important thing is that we
18 can't measure apples and oranges. We can't have one
19 sleep lab measure pre and another one measure post.
20 It has to be not only the same sleep lab or the same
21 home sleep study, but it has to be the same person
22 blinded, of course, interpreting the results.

1 There are different ways of measuring an
2 AHI, as we alluded to in here. "Hypopnea" is an
3 extremely variable term. And whether it's measured by
4 the saturations going down 4 percent and holding
5 breath for 10 seconds, about 50 percent airway
6 obstruction or can be measured by EEG, looking at
7 arousals.

8 And strictly looking at arousals, there
9 are two basic criteria: The Medicare criteria and the
10 Chicago criteria. Many sleep labs use variations and
11 perturbations of these.

12 So whatever we measure, we have to
13 measures apples and apples. I think that the home
14 sleep study is the best way to go on this because you
15 don't have the first night effect.

16 And I think that a patient serves as his
17 own control for snoring, let's say, that you can
18 measure the snoring with an in-home sleep study. And
19 then you can apply the device and then after an
20 appropriate period of wearing the device, to then get
21 another sleep study.

22 You also need subjective data. Does the

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1 patient when they have had their sleep study have more
2 alcohol that night before or did they have an upper
3 respiratory infection before either one of these?
4 They can all be measured and have been done in
5 multiple studies where we have to look at the
6 potential predisposing factors, such things as nasal
7 obstruction, et cetera.

8 CHAIRPERSON GULYA: Dr. Terris?

9 DR. TERRIS: So to address something that
10 you said, Julie, which patients to study, I would say
11 what indication they want. So if they are looking for
12 mild sleep apnea, I would say the patients must fit
13 into that category. I wouldn't be in favor of
14 approving it for one indication of a severe case when
15 they have had studies that were done in mild patients
16 and vice versa. So that answers that question, at
17 least in my mind.

18 CHAIRPERSON GULYA: Okay.

19 DR. TERRIS: In terms of the first night
20 effect -- and I would differ significantly with my
21 colleague, Dr. Mair, my esteemed colleague, Dr. Mair,
22 that we should --

1 CHAIRPERSON GULYA: The gentleman from
2 Wilford Hall.

3 DR. TERRIS: -- that we should favor
4 ambulatory polysomnography. Even though I'm a
5 proponent of it, of ambulatory, because it will
6 increase access and get more patients through the
7 door, when it comes to validating a device that is
8 going to treat a patient with this disease, I would
9 not want to rest on ambulatory polysomnography. And,
10 again, I am sure I can speak for my sleep medicine
11 colleagues because they would feel the same way.

12 The first night effect exists, no question
13 about it. But because of the way it works, if
14 anything, you are going to underestimate the effect of
15 your intervention because what happens is if a patient
16 is uncomfortable with all of this stuff on, they don't
17 sleep very well. They don't get into the deeper
18 stages of sleep. So it tends to underestimate the
19 severity of their disease, which is why many sleep
20 medicine folks say everybody needs not a full night
21 study, they need two nights or three or four nights of
22 sleep study to really characterize their disease. And

1 obviously that's beyond what we can realistically
2 provide.

3 But for a study validating a new device or
4 a device, I would say attended in-hospital
5 polysomnography is what it is going to take me as a
6 reviewer for a manuscript. For reviewing for
7 Laryngoscope, you know, I want to see an attended
8 study just to approve a manuscript, let alone a device
9 to be approved by the FDA. And because of the way the
10 first night effect works, I would be comfortable
11 because, if anything, it is going to underestimate the
12 effect of the device.

13 So I would stick with attended studies.
14 I would characterize the patient population according
15 to the indication that they are trying to achieve and,
16 again, no separate control group.

17 CHAIRPERSON GULYA: Dr. Mair, one last
18 comment here. And then I think what we will do is
19 move on to B. And we'll get more people involved. I
20 might start calling on individuals.

21 DR. MAIR: Just some thoughts again for my
22 esteemed colleague, Dr. Terris. The first night

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1 effect if it doesn't have that much to do, it will
2 underestimate the snoring anyway. Then there might be
3 an effect of the snoring aid that we're missing by
4 doing these controlled studies with an in-house
5 polysomnogram and measuring the first night. So we
6 might be missing a device that really does work and
7 will help patients based on the false results from the
8 first night effect. Multiple night sleep studies.

9 This is the best way to go. There's no
10 question. And to do in-house polysomnography and get
11 over the first night effect with multiple nights is
12 extremely expensive. At-home portable multi-channel
13 sleep tests are very inexpensive for multiple nights.
14 It can be used for multiple nights, and this should be
15 recommended.

16 As far as validation is concerned, this is
17 a very important, a crucial point. There are several
18 home multi-channel sleep studies that have been
19 validated and strongly validated. Of course, those
20 are the ones that we should use.

21 There's a market out there for
22 multi-channel sleep studies just like for snoring aids

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1 and anti-snoring devices. They vary from one side to
2 the other.

3 We ought to look for the ones that have
4 been scientifically validated and published in
5 peer-reviewed journals, which there are several. And
6 we ought to look toward those to get our significant
7 data.

8 DR. LI: Julie, can I make a comment?

9 CHAIRPERSON GULYA: Where is that coming
10 from?

11 DR. LI: Right here.

12 CHAIRPERSON GULYA: Yes, Dr. Li. Sure.
13 Sorry. Thank you.

14 DR. LI: Kasey Li. I think it's well
15 beyond the scope of this Committee to talk about the
16 definition of hypopnea, the interpretation, and types
17 of sleep studies that we're using.

18 I would agree with Dr. Terris in that any
19 studies need to be up to the standard of what is
20 currently accepted as the gold standard of evaluation,
21 which is attended in-house sleep study.

22 CHAIRPERSON GULYA: Thank you very much.

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1 Any other comments? Dr. Jenkins? I was
2 waiting for you.

3 MEMBER JENKINS: I can't accept an
4 efficacy study without controls. You can't say that
5 this is working unless you have got a control group
6 there to compare it against.

7 I'm not sure where you're coming from
8 saying you don't need a controlled study.
9 Particularly if you've got a 30 percent error rate
10 here in the test/re-test situation, you have to have
11 it controlled. You've got to be doing the same thing
12 showing that one has a 50 percent change, the other
13 has a 20 percent change. That 20 percent change is
14 within your 30 percent reliability.

15 You know, you can't just say, "Yes, this
16 is efficacious" without having your controls to show
17 that.

18 CHAIRPERSON GULYA: I saw Dr. Zero nodding
19 his head. So I'm going to put him on the spot and
20 have him throw in his two bits' worth also.

21 MEMBER ZERO: Yes. Although again this is
22 not my area, running research without a proper control

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1 to me sounds so foreign that I don't understand it.
2 I understand the limitations in certain areas that you
3 have because of costs or feasibility, but science is
4 science.

5 And the best design I have heard so far is
6 Dr. Mair's design, which would be what I would call a
7 randomized crossover design, where you randomize the
8 order of entry into the study, you understand the
9 carryover effect, as was described, and you limit the
10 length of the study so you don't cross over that
11 six-month period where you get irreversible effect and
12 you can't recover those.

13 So to me that is the best design. The
14 issue of what I would call a lead-in to the study,
15 which is basically the overnight stay, also makes
16 sense for me as an uninformed observer, we'll say, for
17 this type of research because, again, if you are
18 looking at the effect of something, you don't want to
19 know what the effect is in a pure research sense. You
20 want to know what the clinical effect is. And if you
21 have the overlay of being your body surrounded by
22 sensors and various paraphernalia, you have to get

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1 tolerance to that so you can actually get a true
2 experimental effect that means something clinically.
3 So I am strongly supporting some of the discussion
4 here.

5 CHAIRPERSON GULYA: Okay. Mr. Crompton?

6 MR. CROMPTON: I would say for device
7 trials, though, there is a long history exactly as Dr.
8 Terris is pointing out, where subjects do serve as
9 their own controlled cochlear implant studies. Most
10 orthopedic studies are designed that way.

11 I liked the logic. Obviously there is a
12 cost factor here of Dr. Terris' presentation, where
13 the subject could serve as his or her own control. I
14 think these studies have been well-received. It's not
15 the classic design. But for device trials, they are
16 very different than drug trials.

17 CHAIRPERSON GULYA: All right. Comments?

18 DR. TERRIS: You first, Kasey.

19 CHAIRPERSON GULYA: Dr. Li?

20 DR. LI: Well, you know I am going to
21 agree with you.

22 (No response.)

1 DR. TERRIS: That's why I let you go.

2 DR. LI: That's why he was going to let me
3 go first.

4 CHAIRPERSON GULYA: He's got a big grin on
5 his face.

6 DR. LI: Obviously we want to have as
7 rigorous a scientific approach as we can, but with the
8 currently accepted, what I could extrapolate from is
9 really the surgical literature on the treatment of
10 sleep apnea. And all of the surgical literature
11 relies on the patient serving as their controls.

12 That is the first issue. The second issue
13 is the night-to-night variability, if you really look
14 at the published literature, I think the 30 percent is
15 an outlier. Mostly accepted is about 15 percent in
16 terms of night-to-night variability if we look at all
17 of the published reports.

18 So that's it.

19 CHAIRPERSON GULYA: Okay. Dr. Terris?

20 DR. TERRIS: Dr. Terris. And just so
21 nobody leaves here thinking I am not a good scientist,
22 there are two. You just heard what Kasey said. There

1 are only two prospective randomized trials controlled
2 for the surgical treatment of sleep disordered
3 breathing, and both of them are mine. So I understand
4 the value of science, but --

5 DR. LI: Actually, that's incorrect,
6 David.

7 (Laughter.)

8 DR. TERRIS: But despite that, despite
9 that, for validating a device -- and I do over the
10 years a fair number of studies looking at different
11 devices. It's prohibitively expensive. I mean, you
12 want to have a control group. You want to have
13 multiple nights of studies. You're talking about
14 thousands and thousands and thousands of dollars. And
15 talk about not getting the product out to the
16 consumers that need it. Holy cow. So it's just not
17 realistic.

18 And there's no placebo effect. I mean, it
19 just doesn't make sense in this condition.

20 MEMBER JENKINS: But to get a device out
21 to the public without having these trials, it's
22 billions of dollars in lawsuits and that sort of

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1 thing, too. So you can't really look at it that way.
2 You've got to show that it's efficacious in a good,
3 scientific manner.

4 DR. TERRIS: I agree if it's necessary.
5 If it's necessary to have a control group, you should
6 have them.

7 CHAIRPERSON GULYA: Dr. Zero, please.

8 MEMBER ZERO: In an uncontrolled study,
9 there is something called experimental bias, which is
10 the investigators themselves who want to show a
11 treatment effect.

12 This is well-documented in every form of
13 research. So I don't know how you get away from that
14 point without a control.

15 CHAIRPERSON GULYA: Right. Okay.

16 DR. TERRIS: Can I just respond to that?
17 Let me just respond to that specific point. This is
18 Dr. Terris because the person who is running the study
19 is not the person who does the sleep study. So it's
20 a different individual. That gets around that issue,
21 but that's a very good point. You have experimenter
22 bias.

1 CHAIRPERSON GULYA: Are we happy here?
2 Have we addressed this issue well enough for you?

3 (No response.)

4 CHAIRPERSON GULYA: Okay. Good. All
5 right. Next we will move on to before we come to
6 blows -- I don't know who I would bet on right now at
7 this point -- the endpoints which would be acceptable
8 for the assessment of the effectiveness of treatment.
9 Okay. Let's see. I would like to hear from -- let's
10 go with Dr. Suzuki.

11 MEMBER SUZUKI: I've got a couple of
12 things. I'm not a behavioral scientist either, but
13 there are probably a couple of different measures for
14 endpoint that at least I can see from a dental
15 standpoint.

16 First of all, with respect to endpoints on
17 the mandibular repositioning devices, is it okay to
18 cover those also?

19 CHAIRPERSON GULYA: Yes. I think we can
20 just throw these all in the pot, just general study
21 design issues, I think.

22 MEMBER SUZUKI: Okay. I would suggest

1 serving as appropriate endpoints consideration of a
2 dental arch alignment examination, pre and post;
3 determinations of occlusion, pre and post, even using
4 an articulator. And hopefully there will be no
5 changes pre and post. I don't expect improvement, but
6 no changes is what I would expect to see as an
7 endpoint. Also, a soft tissue exam, pre and post, to
8 provide that there are no untoward reactions against
9 soft tissues with these appliances.

10 From a behavioral standpoint, measurement
11 with a questionnaire, either self or with a spouse/bed
12 mate. And I'm not sure how you would design it, but
13 behaviorists would probably make a simple ten-point
14 questionnaire. A TMJ evaluation to make sure there's
15 no adverse effects on the TMJ and, of course, any
16 appropriate electronic evaluations of electromyography
17 or other substance also indicating that there are, in
18 fact, no changes using these devices and, in fact,
19 perhaps even improvement. So those are like four or
20 five that I could consider as endpoints.

21 CHAIRPERSON GULYA: Okay. Dr. Zuniga?

22 MEMBER ZUNIGA: John Zuniga. One other if

1 I can go back to some of the last discussion quickly.
2 There is also some subject bias, I think, in subjects
3 who return constantly over and over time. Those
4 patients may do better, better, better for the
5 experimenter. So an ongoing clinical trial, that's
6 why the importance of a control group exists.

7 I would recommend an equivalence study for
8 these OTC devices such that the comparator be some
9 gold standard within the known published literature,
10 for example, the mandibular protrusion devices be
11 compared when using the over-the-counter devices, that
12 the primary endpoints be defined.

13 Obviously depending on the object of the
14 study if you're looking at OSA, that's a different
15 group of patients than looking at snoring and then the
16 various intensity levels of the OSA group, second
17 endpoints being those criteria or substances,
18 secondary problems, snoring, and et cetera.

19 I would like to know about the duration of
20 effect of these treatments. Once you stop the
21 treatment, how long do the positive or negative
22 effects persist or go on?

1 CHAIRPERSON GULYA: Okay. Thank you.

2 Dr. Demko, I would be interested in
3 hearing your thoughts on this, particularly from your
4 presentation. You clearly have seen a broad gamut of
5 some of the outcomes here.

6 DR. DEMKO: Gail Demko. Certainly there's
7 no more than a two-day effect. It's like CPAP. Once
8 you stop treating a patient, the effect goes away.
9 The snoring comes back. Usually there's one day
10 they're a little bit better and then all gone into.

11 With the oral appliances in general, the
12 washout periods have been anywhere from two to four
13 weeks. So if you're within that first six-month
14 period where things are not permanently moved, almost
15 always they will go back to where they were. Edema
16 will resolve in the joint.

17 The only thing that I have ever had
18 trouble with on patients is where they do have
19 shortening, what is probable shortening, -- we don't
20 know for sure -- of the internal pterygoid bringing
21 the mandible forward after long periods of time of
22 edema in the joint. So I have patients. Most of them

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1 are intermittent appliance users after they start
2 running into trouble.

3 I think that using patients as their own
4 control really has been where we have been, but if you
5 look at the critical evaluation article that was
6 mailed to us secondarily, they were just very
7 unimpressed with most of the studies that have been
8 done with oral appliances to date.

9 CHAIRPERSON GULYA: And in terms of
10 endpoints for the effectiveness assessment?

11 DR. DEMKO: Endpoints, the effectiveness,
12 it takes almost always three months for a patient who
13 is self-titrating, moving the mandible forward before
14 you get to a truly effective position. The more
15 slowly they move themselves forward, the further they
16 are going to be able to go, the more effective the
17 appliance will be. The further they go, the more side
18 effects they have.

19 So most of the studies will stop short of
20 six months because that is why it is just now -- Alan
21 Lowe developed the Klearway appliance in 1995. He is
22 just now getting together five-year data on

1 appliances, even though he has been using them for
2 almost ten. That will be published this next year.

3 So we are seeing significant changes. It
4 is all within the last two years that all of this data
5 has come on for long-term use, even though we have
6 been using appliances since 1983.

7 CHAIRPERSON GULYA: So in a study if a
8 manufacturer were to propose some sort of mandibular
9 repositioning device, would you want to see as a
10 requirement presentation not only of six-month
11 efficacy data but also of one-year or one and a
12 half-year complications or adverse effect data?

13 DR. DEMKO: Only if he were going to try
14 and advertise that he had less of a problem than
15 others on the market. Otherwise, in my hands over two
16 or three thousand patients, they are all the same.
17 They all do the same damage.

18 So it is only if he was going to try and
19 prove he was better or say he was better, he'd better
20 prove it.

21 CHAIRPERSON GULYA: Okay. Dr. Calhoun,
22 any thoughts on some of the endpoints?

1 DR. CALHOUN: I think there are the things
2 that we look for in any study: a change in RDI,
3 change in minimum O2 saturation, maybe changing in
4 snoring loudness. We might want to look at some of
5 the secondary things, such as some of them somewhat
6 subjective: headaches, cognition, hypertension, maybe
7 even performance of complex tasks.

8 CHAIRPERSON GULYA: Okay. How are we
9 doing on question B? Are we good there? Anything
10 that is remaining outstanding?

11 (No response.)

12 CHAIRPERSON GULYA: Hearing that there is
13 a nod of the head that everything seems to be
14 copacetic on the FDA side, we will proceed along to
15 question number C. Now, this is an interesting one,
16 the degree of improvement for each of the endpoints
17 which would be clinically meaningful assuming an
18 acceptable adverse event profile. Who would like to
19 tackle this one? Okay.

20 MS. HOWE: This is Betsy Howe. This might
21 be going back to the previous question, but since
22 we're talking about an over-the-counter issue, I

1 wonder if we could ask untrained, raw consumers to
2 actually demonstrate proper fit of the appliance and
3 also if there could be added into the questionnaire
4 seeking if the labeling or the warnings are actually
5 educating them about knowing the difference between
6 snoring and OSA risk factors.

7 CHAIRPERSON GULYA: I hear you. Thank
8 you.

9 Yes, Dr. Zero?

10 MEMBER ZERO: Just a point of
11 clarification. With question 3, are we delating with
12 OTC or prescription or both?

13 CHAIRPERSON GULYA: Well, I was taking
14 these as OTC. That was my understanding from FDA.
15 And I am seeing a nod of the head. So this is for OTC
16 application.

17 MEMBER ZERO: Okay. I just had that
18 question almost going in. And I appreciate the
19 clarification.

20 CHAIRPERSON GULYA: Sure. No, no, no.
21 It's always better to ask and make sure. I
22 understand. Absolutely. So what are your thoughts?

1 MEMBER ZERO: Thank you. Good segue.
2 Again, in trying to understand the issue of these
3 endpoints, to me they seem to be a catch-all of
4 everything you can do, but I'm not clear if it's
5 everything you should do.

6 CHAIRPERSON GULYA: Well, also I was
7 reminded that the FDA has to have this concept of the
8 least burdensome approach also. So I think we need to
9 go for, as Willie Sutton said, where the money is.
10 Where do you think the biggest --

11 MEMBER ZERO: Well, that's exactly where
12 my question is going. In this gold standard of the --
13 what's the term? -- polysomnography, there are a
14 number of different outcomes. I am assuming there
15 have been validation studies done over several years
16 and that this is the gold standard because it stood up
17 to validation.

18 If that is not the case, then I think we
19 need to look at that and say, does one of these
20 indicators give you enough indication of where you
21 really need to go? Because the cost both from the
22 clinical management of this point of view as well as

1 from the research design point of view, the cost seems
2 to be almost prohibitive to doing what you need to do.

3 So my point is maybe this has already been
4 discussed, but what is the validity of these? I heard
5 the term a "tarnished gold standard." Does everybody
6 agree with that term or is this really an accepted
7 approach?

8 CHAIRPERSON GULYA: And we need to address
9 also what is a clinically meaningful change also
10 because you do a --

11 MEMBER ZERO: Well, validation at the
12 clinical level. That's what I am pointing towards.

13 CHAIRPERSON GULYA: Okay. Dr. Woodson?

14 DR. WOODSON: There's also a difference
15 there. There's a certain clinically valid meaningful
16 endpoint for us on a panel trying to decide whether or
17 not something is approved but also the information
18 that you put in the products so that the patient can
19 decide whether or not they are going to spend their
20 money on this. So that is the kind of data that has
21 to be collected, too.

22 So it's going to be completely different

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1 for snoring than for sleep apnea. For snoring, you
2 could say a "such and such decibel rating," which you
3 measure there, where there are also some acceptable
4 rating systems by the bed partner. You can say the
5 bed partners felt like it was reduced, the snoring was
6 reduced, by this much.

7 CHAIRPERSON GULYA: Okay. Dr. Mair?

8 DR. MAIR: A little phrase I have heard.
9 Eric Mair. "Snoring is in the ear of the beholder."
10 That is very true in that most of the studies that
11 measure snoring are subjective in nature. However, we
12 do need to get beyond that and look at objective data.

13 The standard definition of success as far
14 as from snoring, the standard definition should be
15 that there is a subjective improvement. The bed
16 partner is happy, uses a VAS scale 1 through 10. They
17 put a little X on there, easy to do, inexpensive to
18 do.

19 And the other is an objective test. There
20 are some problems with some objective tests measuring
21 decibels because you have a microphone hanging. Then
22 the patient rolls over, one side or the other. It is

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1 going to drastically change. So usually it is wearing
2 an oronasal like a little oxygen and little catheter
3 and then having the microphone in that area. And that
4 measures decibels. That is still very relatively
5 inexpensive.

6 CHAIRPERSON GULYA: How much of a change
7 is considered clinically meaningful in the terms of
8 the decibels?

9 DR. MAIR: The decibels you are looking
10 for a change of the -- I have that written
11 specifically down, but there are different ways of
12 measuring sound on decibels from an oronasal. There
13 are acceptable standards. I could include those in --

14 CHAIRPERSON GULYA: Is it like a 50
15 percent reduction or 75?

16 DR. MAIR: No. There are about 4 or 5
17 different things. It's bringing the one threshold,
18 maximum threshold, five-decibel change. But I have
19 that written in here, and I could give that to say
20 that.

21 The second thing, though, there is a
22 definite success story for obstructive sleep apnea, at

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1 least what is a standard acceptance. And that is that
2 the AHI goes to a physiologic level; in other words,
3 AHI less than five. That's a complete success.

4 And then we have the partial response or
5 partial success. That is a satisfactory improvement
6 of the symptoms with a 50 percent or greater reduction
7 in the AHI. Of course, that is also assuming that AHI
8 is below 20 because this is associated with the
9 increased mortality that we talked about before.

10 So I think that is a standard thing. And
11 it is in our article here that we were given. And
12 that is used in I think most studies, and that is not
13 difficult or expensive to do.

14 CHAIRPERSON GULYA: Dr. Li,
15 point/counterpoint?

16 DR. LI: Well, I think it is reasonable to
17 use the RDI in terms of less than 20, but less than 20
18 is moderate sleep apnea.

19 DR. MANN: I'm talking about a partial
20 response for that, Kasey. This is Eric Mair. The
21 complete response is an AHI less than five, but you
22 can't just say a complete responder or no responder.

1 I think that would be unfair. And most studies now
2 look at this as having either a complete response, a
3 partial response, and then less than partial is
4 considered a no response

5 I agree with what you're saying. A
6 partial response is not a complete response, but I
7 think it is something that needs to be measured.

8 CHAIRPERSON GULYA: Okay. Dr. Li?

9 DR. LI: Well, one other issue is if you
10 look at all of these products and specifically
11 pointing to some of the data that has been reported in
12 some of the articles, it is -- I hate to mention this,
13 but is RDI an adequate assessment? Oral appliance is
14 notorious for improvement in RDI and no improvement in
15 lowest oxygen saturation. And that is a major
16 component of morbidity I obstructive sleep apnea
17 syndrome.

18 I don't have any answers, but I am just
19 trying to point out some of the deficiencies in terms
20 of the "gold standard," what we're looking at in terms
21 of outcome measurement.

22 All I could suggest is what is currently

1 accepted, whether it's in the surgical literature or
2 in the medical literature in terms of usually it's a
3 50 percent reduction in terms of the RDI.

4 But whether we should include lower oxygen
5 saturation or not, I think we would have to have some
6 leeway in terms of assessing whatever comes across the
7 FDA, whatever the submission is, to look at the data
8 specifically in terms of what should be looked at.

9 CHAIRPERSON GULYA: Dr. Suzuki, I would
10 like your thoughts, please.

11 MEMBER SUZUKI: Just in our discussion of
12 question C, I would like to just apply what my
13 comments were in section B.

14 CHAIRPERSON GULYA: Okay.

15 MEMBER SUZUKI: And that is that the
16 behavioral science endpoints definitely should show
17 some improvement, whether it be spouse/bed mate and/or
18 self-questionnaire. But also with respect to the
19 dental outcomes, such as arch alignment, occlusion,
20 TMJ, soft tissue changes, there should be no adverse
21 changes in those parameters.

22 So for C for "endpoint" for dental, there

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1 would be "no change."

2 CHAIRPERSON GULYA: So no change. Okay.

3 In terms of the behavioral testing, how much of a
4 degree of improvement would you think would be
5 appropriate to take as your clinically meaningful
6 endpoint?

7 MEMBER SUZUKI: You would have to ask a
8 behavioral scientist.

9 CHAIRPERSON GULYA: Okay. Dr. Zuniga?

10 MEMBER ZUNIGA: I can't comment on the
11 primary endpoints. Everyone else has before me.
12 Maybe some second endpoints might provide some more
13 insight into usefulness, such as time to onset of
14 effect. And maybe some devices might work different
15 than other devices, either equivalent or superior.

16 The other is, especially for the OTC,
17 duration of effect; i.e., assuming that some of these
18 materials may change over time, maybe the benefits
19 will decrease over time or maybe they will get better
20 over time if there is a placebo effect. And so those
21 kind of criteria.

22 CHAIRPERSON GULYA: Okay. Does anybody

1 else have anything they wish to add to this point?

2 Dr. Orloff?

3 DR. ORLOFF: Lisa Orloff. Maybe just an
4 expansion on what Dr. Suzuki was saying, but since one
5 of the most important things to the patient is their
6 subjective quality of their sleep or their sleepiness
7 score, although it is subjective and it's also prone
8 to the placebo effect, I think that that would be
9 worthwhile data to have, whether the patient has their
10 own control or not, to study to measure sleepiness
11 scores and compare between a control and with the
12 device or even with the device, for example, the
13 mandibular repositioning device, in place but not
14 advanced. And so it's sort of a sham having the
15 device on but not repositioned at all and then
16 protruding mandible.

17 CHAIRPERSON GULYA: Okay. Great. Thank
18 you very much.

19 Anybody else have any comments?

20 (No response.)

21 CHAIRPERSON GULYA: Okay. FDA, have we
22 covered this for you? Do you think you have the

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1 information you need?

2 (No response.)

3 CHAIRPERSON GULYA: All right. I see a
4 nod coming from over there. All right. We will sally
5 forth to D. The specific adverse events, if any,
6 which should be carefully assessed by FDA from the
7 clinical trial. I will have Dr. Suzuki lead off on
8 this because I think you have been given the
9 opportunity to iterate this for the third time.

10 (Laughter.)

11 MEMBER SUZUKI: Well, I guess I would look
12 for a worsening of dental effects, speaking from a
13 dental standpoint. Are there any changes in
14 occlusion, TMJ, soft tissue status, arch alignment,
15 things like that?

16 Behavioral science questions would be, is
17 the situation getting worse statistically, at least by
18 one standard deviation? Is my snoring worse? Are my
19 sleep, daily sleep patterns, changing adversely and
20 questions like that?

21 So those are the two parameters I would
22 look for as adverse.

1 CHAIRPERSON GULYA: Okay. Actually, I
2 have a question here. Again, this is a little bit out
3 of my area. When we are talking about the jaw
4 realignment issues, when we are talking about the
5 fibrosis and scarring and the dental changes, I guess,
6 like when you were talking, Dr. Demko, about the fluid
7 and the TMJ, that seemed to me like a marker for there
8 is potential trouble here.

9 In my incoherent way, I am asking, are
10 there other markers for there is trouble brewing here
11 other than just trouble starting to happen in terms of
12 dental realignment? Is there some sort of -- do you
13 understand what I am trying to say?

14 DR. DEMKO: Yes.

15 CHAIRPERSON GULYA: Is there some marker
16 for worse troubles yet to happen but you can sort of
17 start seeing things before you actually get to the
18 point of a serious problems?

19 DR. DEMKO: This is Dr. Demko. And no.

20 CHAIRPERSON GULYA: No.

21 DR. DEMKO: What we do see is fluid
22 build-up within the first month in about 40 percent of

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1 patients. However, almost all of them have total
2 control. And it's gone within five minutes. They get
3 up in the morning. They take the appliance out.
4 Their jaw is thrown forward just that fraction of a
5 millimeter. They hit the anterior teeth. And the
6 natural overlap of their teeth as they swallow will
7 basically hammer the jaw back into its normal
8 position.

9 CHAIRPERSON GULYA: Okay.

10 DR. DEMKO: It does not happen with people
11 who have significant overbites, where their top teeth
12 are well beyond their bottom teeth. Those are people
13 who are going to have more change.

14 People whose bottom jaws naturally are
15 either even with the top teeth or further forward,
16 they're not going to have that easy resolution. It's
17 the patients I find because for five years now that I
18 have been seeing this, I have been neurotic about
19 warning all of my patients that this will happen to
20 them, even though it doesn't.

21 I find that the biggest jaw changes are in
22 the men because they just go, "Yeah, yeah, yeah. My

1 teeth don't touch" and the women are going like "Oh,
2 my God, my profile is going to change." So the women
3 have a more vested interest in their own viewpoint of
4 getting their mandible back into position within 15
5 minutes every morning.

6 I actually hand out Double Bubble bubble
7 gum with every new appliance because it works better
8 than almost anything. It doesn't come sugar-free.
9 And Bazooka Joe, which does, is too soft. And bagels
10 don't work, but going to Trader Joe's and pumpkin
11 seeds do and cut-up latex gloves.

12 CHAIRPERSON GULYA: I don't think I want
13 to go trick or treating with Dr. Demko.

14 (Laughter.)

15 DR. DEMKO: You get a toothbrush. I hand
16 out toothbrushes.

17 So there are a lot of things that do
18 happen. Most of the changes that are truly adverse
19 that are really going to cause me conniption fits
20 aren't going to be in the first six months.
21 Therefore, it's just making sure that whatever is
22 coming on the market isn't worse than what is already

1 there.

2 I do like the idea of patients saying what
3 is going on, but as for the mobility, what I would
4 like to add to Dr. Suzuki is I want clinical
5 evaluation of changes in the dentition.

6 I don't want the patient responding. So
7 in Glenn Clark's work, where he is looking at
8 long-term data but it's by questionnaire because of
9 what Anette Fransson found out, that patients aren't
10 aware of these changes, I want that clinically
11 documented by somebody who evaluates it using a T-scan
12 or something like that.

13 CHAIRPERSON GULYA: Okay. Dr. Zuniga?

14 MEMBER ZUNIGA: Sorry. John Zuniga.

15 There are some objective outcomes you can
16 file for all of those areas. For TMJ onset of pain,
17 limited opening, joint sounds, those are certainly
18 criteria that patients as well as their objective
19 followers could evaluate.

20 Also, in terms of fluid in the
21 temporomandibular joint, using the MRI, supposedly
22 being the gold standard, there are also many studies

1 that have shown that you can observe MRI changes that
2 suggest fluid build-up in the joint, even in the
3 normal populations. So there is not a direct
4 correlation with symptomatology and outcome.

5 CHAIRPERSON GULYA: Okay.

6 MEMBER SUZUKI: Madam Chairman?

7 CHAIRPERSON GULYA: Dr. Suzuki?

8 MEMBER SUZUKI: Jon Suzuki. As a
9 follow-up to Dr. Demko's and Dr. Zuniga's comments, I
10 didn't know you wanted the detail, Madam Chairman, on
11 TMJ, but, in addition to Dr. Zuniga's comments,
12 granted TMJ pain is certainly one of the objective
13 outcomes you can look for as an adverse event. There
14 are others in the pecking order of TMJ diagnosis that
15 I think are also important.

16 CHAIRPERSON GULYA: Okay.

17 MEMBER SUZUKI: They include TMJ crepitus
18 upon opening, for example; TMJ clicking; popping,
19 whether it be bilateral or unilateral even. I think
20 those are all events that have to be looked for as a
21 worsening of a particular condition. So I would add
22 that to possible adverse events that might be

1 evaluated.

2 CHAIRPERSON GULYA: Okay.

3 DR. DEMKO: I would just like to add one
4 thing is that this year, early in this year, three
5 articles came out showing that mandibular
6 repositioning devices being used for obstructive sleep
7 apnea actually had an ameliorating effect on TMJ
8 problems, that in all of the time I have been doing
9 these appliances, I have only had seven patients not
10 be able to wear an appliance because of TMJ pain. All
11 of the rest of them could if it was introduced
12 correctly.

13 TMJ is almost a non-issue now for those of
14 us doing sleep apnea.

15 CHAIRPERSON GULYA: Okay. All right. Dr.
16 Zero?

17 MEMBER ZERO: It seems we have categories
18 of adverse events here. Some of them are adverse
19 events that dentists would only pick up that may be
20 only important to a dentist. Then we have a category
21 which would be appearance-related and pain-related,
22 which the patient would be most concerned about. And

1 then we have transitory changes and then permanent
2 changes.

3 Again, in structuring this as adverse
4 events, I think we have to keep maybe those
5 perspectives in mind because I think some of the
6 changes, like the movement of a few microns of a
7 tooth, may not be very important to a patient.
8 Although we can measure them, they are clinically
9 insignificant.

10 So I think we have to structure our
11 thinking around these adverse events as what are, in
12 fact, important to the patient and, where important,
13 to health and not so much concentrate on what is
14 important to a dentist necessarily. I have a dentist.
15 So I can say that.

16 CHAIRPERSON GULYA: Okay. It's kind of
17 the distinction between an adverse event and a serious
18 adverse event. Just because something happens, there
19 is a continuum of severity. And you want to make sure
20 that that is recognized in the adverse event
21 reporting.

22 MEMBER ZERO: And also along those lines,

1 there were some change that happened that all the
2 dentist does is monitor that they have happened,
3 especially with the mandibular advancement, just
4 monitor, yes, the teeth have shifted, but the patient
5 can still chew. The patient does complain about this
6 aesthetically. I guess the men like it having a big,
7 bulging jaw and the women maybe may not like it.

8 So some of these changes just we can
9 document them, but when do they cross the line of
10 being what we call serious adverse events that impact
11 on health? I don't think we have those definitions
12 right now. At least I don't see them. Maybe Gail can
13 comment on it.

14 CHAIRPERSON GULYA: Dr. Demko?

15 DR. DEMKO: Dr. Demko. Basically, I've
16 never had any patient stop wearing an oral appliance
17 that was ragingly effective. So they were willing to
18 put up with massive changes in their bite, in ability
19 to chew, lateral open bites so that they had -- I have
20 a number of patients who are only open on one side in
21 the posterior. These are things that if they are
22 feeling wonderful, they don't care.

1 And it's pretty much the same with almost
2 any medical treatment, I think the same with CPAP.
3 They're going to wear that, even if they're dating if
4 it makes them feel wonderful the next day. And that
5 is pretty much where the oral appliances are.

6 CHAIRPERSON GULYA: So we have Dr. Orloff
7 and then Dr. Li. Dr. Orloff?

8 DR. ORLOFF: Lisa Orloff. I'm not sure
9 where this fits into this discussion. Just thinking
10 about changes that might occur in somebody's mouth
11 that may be perceived as related to the use of the
12 appliance, especially in smokers, I think that
13 patients should be aware that if they have oral
14 mucosal changes, they shouldn't just assume they're
15 related.

16 One thing you wouldn't want to miss is an
17 evolving cancer in somebody's mouth in the midst of
18 using this kind of device. So somewhere in the
19 labeling or in the -- I'm not sure where that fits,
20 but I don't think we want to overlook complications in
21 the mouth or problems in the mouth that aren't
22 necessarily due to the device but may either be

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1 exacerbated be or falsely attributed to it.

2 CHAIRPERSON GULYA: Good point. Okay.

3 Dr. Li?

4 DR. LI: Kasey Li. I wonder if worsening
5 of sleep apnea qualifies as an adverse event. It
6 certainly is well-described in oral appliance
7 literature, especially in the uncontrolled use of OTC
8 with potentially causing worsening. So I think it is
9 the point of actually looking at the individual data,
10 as opposed to an average or mean improvement of the
11 parameters.

12 CHAIRPERSON GULYA: Sure. Thank you.

13 Good point.

14 Any other discussion? Okay. FDA, how are
15 we doing? Sufficient? I see a nod there. We're okay
16 with this one?

17 (No response.)

18 CHAIRPERSON GULYA: All right. Now, we
19 have the same questions thrown at us except now we are
20 to say whether or not any of the responses to 3(a)
21 through 3(d) would be different based on the severity
22 of snoring and/or obstructive sleep apnea; i.e., mild,

1 moderate, or severe.

2 MEMBER SUZUKI: I'll start.

3 CHAIRPERSON GULYA: Thank you. Bless you.

4 MEMBER SUZUKI: Jon Suzuki. My answer is
5 no.

6 CHAIRPERSON GULYA: Thank you.

7 I haven't heard from Dr. Terris in a
8 while. I want to make sure he is not falling asleep.

9 DR. TERRIS: I am listening with interest.
10 I am sort of carefully looking at that question. In
11 terms of the adverse outcomes, I don't think it
12 matters the severity of the disease, whether it is
13 snoring or sleep apnea, but in terms of endpoints,
14 yes. I mean, I guess it makes a big difference.

15 So from my perspective, if we are looking
16 at efficacy for sleep apnea, it actually doesn't
17 matter what happens to their snoring. It's really
18 just the sleep apnea. So you are looking at two
19 different things. Even though we recognize it is a
20 continuum to reach that threshold for sleep apnea, it
21 just has a different way of looking at it.

22 So I guess the answer is yes, it does make

1 a difference, not so much that you --

2 CHAIRPERSON GULYA: What in specific would
3 you change?

4 DR. TERRIS: Okay. So (a), sorry, I still
5 don't think we need a control group; (b) the
6 endpoints. Mostly it's the endpoints. So for sleep
7 apnea, it would be improvement of the respiratory
8 disturbance index or the AHI to below five, as Eric
9 suggested. So that is to me the sine qua non. And
10 recognizing Kasey's comments about lowest oxygen
11 saturation, I just think it would be a little bit
12 complicated to acknowledge that.

13 To me, that would be the endpoint for
14 sleep apnea.

15 CHAIRPERSON GULYA: And that would be the
16 same regardless of mild, moderate, or severe sleep
17 apnea?

18 DR. TERRIS: Yes.

19 CHAIRPERSON GULYA: Okay.

20 DR. TERRIS: And for snoring, it is so
21 subjective that it really is what the sleep partner
22 has to say about it. Now, you asked a question

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1 earlier about the recordings and decibel loudness. It
2 is interesting because it turns out that for the sleep
3 partner, the volume is not as important as the
4 frequency. That has been carefully shown in a number
5 of different studies using the SNAP as the most common
6 device available out there. That really is for
7 academic purposes more than for reading efficacy of a
8 device.

9 So I would say the sleep partner is really
10 the one that makes a difference. And so for that
11 reason, for that reason, a control group probably does
12 make sense because that is so subjective and there is
13 no objective way to quantify that data.

14 CHAIRPERSON GULYA: Okay. All right.
15 Let's see. Who haven't I heard from in a bit here?
16 Dr. Jenkins? You don't have any comments? Okay. Dr.
17 Mair?

18 DR. MAIR: There was a question about what
19 snoring parameters to measure. What David said is
20 exactly right. There are four snoring parameters,
21 just for the record, that are measured. One is the
22 percentage of snoring originating in the soft palate.

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1 And that can be measured by the frequency.

2 There is a weighted velum-like or average
3 loudness of the snoring noise. And then there is
4 average loudness of the total snoring noise over the
5 recorded period of time. And then there is average
6 palatal flutter frequency.

7 I say those things only for the record,
8 not really much to discuss them, but they're easily
9 measured. Those are the four points that have been
10 looked at in multiple studies.

11 CHAIRPERSON GULYA: And with respect to
12 the severity of the snoring and the obstructive sleep
13 apnea, looking at some of the things we have talked
14 about in terms of what the FDA would want in terms of
15 submissions. How would those change? Depending upon
16 the severity? Use the microphone, please.

17 DR. MAIR: I think you would look for
18 statistical significance. The palatal flutter
19 frequency, for instance, would increase. And the
20 others would decrease. And then you look for
21 statistical significance and the amount of decrease.

22 I can't give you a number like a 50

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1 percent or whatever, but all of those, all four of
2 those, one should go up and the other three should go
3 down. That is what has been used in several studies
4 to determine success, objective determination.

5 CHAIRPERSON GULYA: Is there any
6 information regarding what is thought to be a
7 clinically significant change, reduction in those?

8 DR. MAIR: As far as in --

9 CHAIRPERSON GULYA: Well, if you are
10 talking about snoring.

11 DR. MAIR: Objective we are talking about.
12 I can only say that it would be a statistical
13 significance in the lowering of three and the increase
14 of the palatal flutter frequency. I don't --

15 CHAIRPERSON GULYA: Anybody have any idea
16 about the clinical change, clinically significant
17 change, in these parameters? Because, again, the
18 statistical significance is going to be kind of at
19 virtue of your numbers and some of your variability.

20 I am just trying to get a handle on what
21 would be thought -- you know, if I were a patient and
22 this device -- I mean, it always sounds real

1 impressive to say there is a statistically significant
2 difference in the loudness or frequency of snoring,
3 but it turns out that it is one decibel on a 90 dB
4 sound or whatever.

5 DR. MAIR: What we see is that -- and
6 several studies look at this, most of the subjective
7 studies, that snoring is absent or snoring is no
8 longer a problem. And those are the two things.

9 Now, there have also been studies by
10 personal experience is multiple occasions, actually,
11 where someone will come in and say, "I snore very
12 loud." And then we get one of these tests, like, for
13 instance, the SNAP test. And it shows them not
14 snoring. And then we sit down with spouse and say,
15 "This showed not snoring." And then, all of a sudden,
16 this litany of things comes out that actually wasn't
17 snoring being the problem at all, but it was actually
18 other social or marital-type problems.

19 CHAIRPERSON GULYA: Okay. Any other
20 thoughts on this question number E?

21 (No response.)

22 CHAIRPERSON GULYA: All right. Moving on

1 now to (f). This goes about any specific
2 consideration in trial design for OTC indications. We
3 have kind of all been addressing this as an OTC
4 indication. Yes, Dr. Mann?

5 DR. MANN: I was wondering if we could
6 just get a little clarification from the panel. It
7 has been brought up a couple of times regarding
8 obstructive sleep apnea that an apnea/hypopnea index
9 of less than five; i.e., returning to a normal
10 physiological level, would be the sine qua non of a
11 complete response.

12 We heard a couple of people discuss the
13 problems with sleep studies, the variability from
14 night to night, the problems of the first night
15 effect, the home use devices versus the monitored
16 situation.

17 I was wondering if you could just give a
18 little bit of clarification. You know, I recognize
19 that there are two schools of thought on this but how
20 you would account for the variability and the first
21 night effect and so forth, how many sequential nights
22 or what design would you do in order to get the data

1 that you needed from either an in-home or a monitored
2 sleep study.

3 CHAIRPERSON GULYA: Dr. Calhoun?

4 DR. CALHOUN: In spite of its inherent
5 flaws, the polysomnogram I think remains the gold
6 standard. And I think that to really be convincing,
7 probably two nights in the sleep lab. And if there's
8 not a significant difference, say more than a 10 to 15
9 percent difference, in the RDI and the minimum O2
10 saturation between the two nights, then I think it is
11 reasonable to accept that as probably true data. On
12 the other hand, if there is an RDI of 2 on one night
13 and 87 the next night, that may not be sufficient.

14 CHAIRPERSON GULYA: Dr. Orloff?

15 DR. ORLOFF: Before we leave the issue of
16 endpoints, I'm not sure we mentioned compliance with
17 the devices. It's something that should be tracked,
18 especially over the long time.

19 CHAIRPERSON GULYA: Good point. Okay.
20 Compliance with the device. Anything else? Anything
21 further with respect to the variability in the
22 polysomnogram and the home sleep measurements?

1 Anybody else?

2 (No response.)

3 CHAIRPERSON GULYA: Okay. Are you happy
4 with that? Okay. All right. So, anyway, in terms of
5 specific considerations in trial design for OTC
6 indications, I think we have pretty much covered that
7 because that is the way we have been addressing it all
8 along, in terms of OTC considerations. So I think
9 that is kind of a little bit redundant.

10 All right. So I think we're winding up to
11 the last. Yes, Dr. Runner?

12 DR. RUNNER: Maybe this is a good time to
13 bring this up. From your initial conversation about
14 oral appliances, you seem to indicate that most people
15 indicated that they were hesitant to have that
16 over-the-counter. However, in the discussion of the
17 endpoints and clinical data, there were some
18 parameters that were discussed about what should be in
19 the study.

20 If a company were to come to us with a
21 study for OTC use of these intraoral appliances, would
22 that be something we should consider and to what level

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1 and how long should this study be to develop some of
2 the data that you're talking about in terms of the
3 adverse events? Despite the fact that you say you
4 don't think that they necessarily should be OTC, we
5 will be presented with studies to get this indication.

6 So I wanted just some additional thoughts
7 on that and what kind of study a consumer study would
8 be adequate or not for that indication.

9 CHAIRPERSON GULYA: Okay. Dr. Terris?

10 DR. TERRIS: Well, I think that is one of
11 the reasons some of us were reluctant to engage in
12 this discussion, because we didn't want it to be seen
13 as an endorsement of bringing forth these devices for
14 an over-the-counter indication for treatment of sleep
15 apnea.

16 CHAIRPERSON GULYA: Dr. Runner, if you
17 could speak into the microphone?

18 DR. RUNNER: Or snoring.

19 DR. TERRIS: Same comment for snoring.

20 CHAIRPERSON GULYA: Okay. Got your
21 question answered? I don't think so. No.

22 MEMBER SUZUKI: Then I'll comment.

1 CHAIRPERSON GULYA: Okay, Dr. Suzuki.

2 MEMBER SUZUKI: Jon Suzuki. I agree with
3 Dr. Terris completely that I think our discussions, I
4 hope, don't send a message that these are suitable for
5 OTC discussions or applications. The parameters that
6 I discussed from a dental viewpoint, Dr. Runner, you
7 know as well as I do can only be done by a dentist.

8 DR. RUNNER: So that when a company comes
9 to us with a consumer-based study where patients are
10 given -- and I have to say the studies that we
11 typically or designs we see is a company comes in and
12 says, "We're going to hand these out in a shopping
13 mall," let's say. And then we have an evaluation
14 sometime later about whether they have decreased
15 snoring, et cetera, and we look at some dental
16 indications.

17 Those aren't addressing the issues that
18 would be of concern to you in terms of the long-term
19 use or their self-diagnosis. Is that the feeling I am
20 getting from the panel?

21 CHAIRPERSON GULYA: Yes. And our
22 discussion is a little bit hampered by the fact that